#### **REVIEW PAPER**

# Meta-analysis of Single-Case Research on Teaching Functional Living Skills to Individuals with ASD

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Abstract A meta-analysis of 52 studies teaching functional living skills to individuals with autism spectrum disorder was conducted. Using the Tau effect size with the Dunn and the Kruskal–Wallis post-hoc analyses, the following categories were analyzed: age, diagnosis, intervention type, dependent variable, setting, and implementer. Analyses for age yielded statistically significant findings supporting greatest outcomes for elementaryaged individuals compared to secondary-aged individuals as well as adolescents and adults in comparison with preschool- and secondary-aged individuals. Moderate to strong effect sizes were noted across categories for diagnosis, intervention, and dependent variable. Outcomes indicated strong effects across categories for setting and implementer. Convergent validity of Tau effect sizes with visually analyzed ratings of evidence was evaluated, which largely resulted in correspondence.

**Keywords** Autism spectrum disorder · Functional living skills · Adaptive · Single-case experimental research · Meta-analysis · Tau

Building functional living skills to prepare individuals with autism spectrum disorder (ASD) for independence and employment has both humanitarian and economic advantages (Hendricks 2010). Functional living skills (e.g., personal care, vocational skills, and home-keeping) are often seen as distal indices for quality of life, as these skills are pivotal to independence and meaningful community participation (Alwell and Cobb 2009). It is widely recognized that a functional curricular approach tailored to individual needs must be adopted to produce

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meaningful outcomes for people with ASD (Ayres et al. 2011). According to the Centers for Disease Control and Prevention (CDC), ASD currently affects an estimated one in 68 children (CDC 2014); as this growing population transitions into adulthood and employment, the number of individuals with ASD supported by federal vocational rehabilitation has increased substantially (Cimera and Cowan 2009).

Since the early 1970s, the rise of a treatment-oriented approach has afforded more opportunities for individuals with disabilities to gain independence, be integrated into their communities, and lead meaningful lives (Fesko et al. 2012). In a 28-year follow-up study of 11 individuals with characteristics that would now be recognized as having ASD, Kanner (1971) noted that the quality of the environment appeared to affect the outcomes of these few cases, equating admission to a state institution as "a total retreat to near-nothingness" and "tantamount to a life sentence" (p. 144). Public Law 94-142 was enacted in 1975, protecting the right to free and appropriate public education for youth with disabilities (Education for All Handicapped Children's Act of 1975). The most recent incarnation of this law, the Individuals with Disabilities Education Improvement Act (IDEIA 2004), emphasizes the uses of evidence-based practices and postsecondary transition planning in educational programs.

Today, under the No Child Left Behind Act (NCLB 2001), students with disabilities are mandated to have access to grade level standards and participate in annual standardized testing measuring progress in general education standards. Under an academic-focused curriculum, the acquisition of functional life skills to prepare for long-term independence in the post-school environment often becomes less of an instructional priority (Ayres et al. 2011). Once these individuals exit the public school system, more responsibilities fall on the shoulders of caregivers and these individuals approach adulthood with fewer supports (Graetz 2010). The current prevalence rates of ASD coupled with limited resources devoted to a

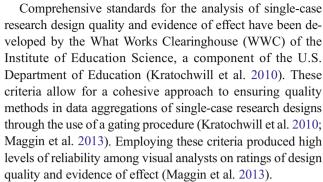


functional curriculum suggest a need to determine the relative effects of various educational practices used to teach functional skills tailored to this exceptional population.

Several empirical studies suggest that individuals with ASD present unique patterns of adaptive behaviors in relation to their cognitive abilities (see review by Jung Lee and Ran Park 2007; Lopata et al. 2012; Matson et al. 2009). For instance, Matson et al. (2009) found that adaptive behaviors were more impaired as symptoms of ASD increased while individuals with intellectual disability alone demonstrated less impairment in adaptive behaviors. Therefore, it would be useful to evaluate the acquisition of functional living skills among individuals with ASD separately from other disability categories as well as between various cognitive functioning levels of ASD.

Although there has been significant research and improvements in early treatment of ASD, it appears that relatively less research has focused beyond elementary-aged individuals (Cimera and Cowan 2009; Machalicek et al. 2008). Early and intensive behavioral intervention, grounded in the principles of applied behavior analysis, is a well-validated approach to improve the independent functioning, including functional living skills, among young children with ASD (e.g., Dawson et al. 2010; Eikeseth et al. 2007; Virués-Ortega 2010). However, Matson et al. (2012) noted the stark need for research to evaluate interventions to teach skills of functional living to adolescents and adults with ASD.

Although several strategies (e.g., prompting, modeling, reinforcement, shaping, and chaining) have shown to be effective to teach functional living skills (Alwell and Cobb 2009; Bennett and Dukes 2014; Flynn and Healy 2012; Palmen et al. 2012a; Walsh et al. 2014), we have a limited understanding of the comparative value among various study characteristics to promote the acquisition of these skills among persons with ASD because studies have not been analyzed via metaanalytic techniques. If it is found that some strategies (e.g., behavioral and video modeling) are as effective as others, this would allow practitioners to select interventions based on ease of implementation. Alternatively, if one intervention was found to be far more effective than others, the practitioners would have information justifying an intervention that may be more costly or time-consuming (Charlop-Christy et al. 2000). Relatedly, it is not known whether current practices are more or less effective for particular categories of dependent variables (i.e., community access, employment, household chores, and self-help skills). Finally, individuals with ASD often have difficulties with generalizing and maintaining skills (National Research Council 2001). Therefore, treatment must be consistent across environments and implementers to demonstrate meaningful gains, indicating that natural change agents must be equipped with the appropriate strategies. Further study is warranted concerning the contexts in which evidence-based interventions to teach functional living skills have been evaluated.



Rigorous innovations in nonoverlap methods of effect size calculations have been recognized and recommended as quantitative measures to be used in conjunction with design analysis and visual analysis of single-case research (Brossart et al. 2014; Carter 2013; Kratochwill and Levin 2014; Parker and Vannest 2012). Nonoverlap techniques are non-parametric statistical methods used to analyze single-case research designs and are a particularly well suited for the characteristics of most single-case research studies. These statistics measure the extent of nonoverlap of the data between adjacent phases (Parker et al. 2011a). Nonoverlap indexes have been refined over recent years and appear to be increasingly acknowledged through applications in the literature (e.g., Ganz et al. 2012; Maggin et al. 2013).

The Tau metric offers greater power and precision over other nonoverlap statistic options (Parker et al. 2011a). Nonoverlap of all pairs (NAP) and Tau are similar nonoverlap effect size metrics that compare all possible pairs of data points between phases (Parker et al. 2011b). The NAP calculation is similar to Tau but differs in scale, and Tau provides an option of baseline trend control (i.e., Tau-*U*) in addition to nonoverlap. The NAP and Tau effect sizes have been used in single-case research studies (Ganz et al. 2013a, b; Hutchins and Prelock 2013), systematic reviews (Gaskin et al. 2013; Harrison et al. 2013), and meta-analytic reviews of single-case research (Bowman-Perrott et al. 2013; Roth et al. 2014).

Tau is largely consistent with visually analyzed ratings of graphed data (Hutchins and Prelock 2013; Brossart et al. 2014). However, this particularly holds true when the data are clear (Brossart et al. 2014). The use of credible effect sizes to supplement visual analysis in addition to considering methodical rigor through design analysis has become the current state of the art in meta-analysis of single-case research (Brossart et al. 2014). It is also advisable to validate emerging nonoverlap effect sizes by assessing their convergence with findings from other measures (Carter 2013; Reynhout and Carter 2011). Given that the most widely accepted and used method of data interpretation in single-case research is visual analysis (Kratochwill et al. 2010), the credibility of effect sizes may be gleaned by comparing them against indicators of visually analyzed effect.



The purpose of this meta-analytic review of single-case research studies was to determine the characteristics of supports that have evidence to suggest effectiveness for teaching functional living skills to individuals with ASD. Effects were broken down by participant characteristics, independent variable categories, dependent variable categories, treatment settings, and treatment implementers to determine potential moderators. The convergent validity of visual analysis criteria and Tau measures was empirically evaluated by testing specific categories of visually analyzed evidence as moderators using the Tau metric.

#### **Research Questions**

- 1. What are the magnitudes of effect (i.e., Tau effect sizes) of educational interventions for teaching functional living skills to people with ASD, differentiated by categories within the following variables: (a) participant age, (b) participant diagnoses, (c) independent variables, (d) dependent variables, (e) setting, and (f) implementer?
- 2. Are there statistically significant differences between categories of the evaluated variables?
- 3. To what extent do various systematically evaluated qualities of evidence determined through visual analysis agree with Tau effect sizes?

#### Methods

#### Search Procedures

A systematic search was conducted during April 2013 in five electronic databases: ERIC, Academic Search Complete, Professional Development Collection, Social Science Full Text, and PsycINFO. The terms *autis\**, *Asperger*, *ASD*, *PDD*, and *pervasive developmental disorder* were each combined with the terms *daily living*, *daily skill\**, *employ\**, *functional skill\**, *hygiene*, *independen\* living*, *independen\* skill*, *life skill*, *practical skill\**, *self-help*, and *self-care*. Publication year and language were not restricted, but the search was restricted to peer-reviewed articles. This search resulted in 1896 articles. Articles that did not have an author or were not classified as a peer-reviewed journal article were excluded resulting in a total of 1761 articles to be screened for inclusion and exclusion criteria.

# Inclusion and Exclusion Criteria

An article had to meet the following criteria to be included in this review. First, the study had to include a participant diagnosed with an ASD. Studies had participants with a pervasive developmental disorder, Asperger syndrome, ASD or "autism", and participants described as having "autistic behaviors". Second, the studies had to employ single-case research methodology including multiple-baseline, multiple-probe, alternating treatment, reversal or withdrawal designs, or a combination of these. Third, the article had to display a line graph indicating repeated measurement of a behavior. Fourth, the study had to target an independent adaptive or functional living skill of a person with ASD as a dependent variable (defined as housekeeping tasks, employment, transportation use, cooking, hygiene and personal care, shopping, accessing public settings, banking and money management, self-feeding, and toileting initiations). Studies with dependent variables related to social, play, communication, or leisure skills that did not also include a measure of functional living skills were excluded. Further, studies in which the researcher behavior was indistinguishable from the participant behavior (e.g., Toelken and Miltenberger 2012) were excluded. Fifth, studies had to investigate an educational intervention (i.e., involved participation in a learning activity). Studies investigating pharmacological treatments were excluded. Finally, articles had to be published in English.

This initial screening of inclusion and exclusion criteria of articles resulted in 44 studies for further evaluation. The included articles were then screened to ensure that they met basic Design Standards, as described below. A total of 28 studies met the predetermined Design Standards and 16 were excluded. Following Design Standards screening, an ancestral search was conducted. The reference lists of the 28 initially included studies were appraised and an electronic search was conducted using the first authors' surnames to identify other potential articles. The results from this iterative ancestral search were screened based on the initial inclusion and exclusion criteria. This search resulted in an additional 27 articles being screened over Design Standards producing a total of 71 articles when in addition to the 44 articles screened prior to the ancestral search.

Inter-rater Reliability on Inclusion and Exclusion Criteria For the initial search and determination of whether a study met the inclusion criteria, an independent second rater applied the initial inclusion and exclusion criteria to 881 of the 1761 articles reviewed (50 %). All inter-rater reliability (IRR) scores in this meta-analysis were calculated by dividing agreements between raters by agreements plus disagreements and multiplying by 100. This initial screening resulted in an IRR of 97 % for overall inclusion or exclusion of each article. If there was a disagreement, the study was either rated by a third reviewer and the final determination was made by the third reviewer or the two reviewers discussed the discrepancy until they came to consensus.

Application of the What Works Clearinghouse Standards

Standards of design quality and evidence were evaluated based on the standards developed by the WWC (Kratochwill



et al. 2010) and applied via codes developed by Maggin et al. (2013). Articles meeting initial inclusion criteria were reviewed to ensure they reached minimum Design Standards criteria, and the included cases from articles were then screened for Evidence Standards. Standards were applied specifically to the dependent variables and participants of interest in this review.

Design Standards The coding protocol and appendix developed by Maggin et al. (2013) were adopted in the current analysis to evaluate six Design Standards for each study. Design Standard 1 evaluated if the independent variable was manipulated systematically (Maggin et al. 2013). A score of 1 was assigned if the standard was met and a score of 0 was assigned if it was not met. For Design Standard 2A, a score of 1 was assigned if interobserver agreement (IOA) was systematically measured and reported for each outcome and a score of 0 was assigned if IOA was not reported. Design Standard 2B evaluated how often IOA was assessed. For the purpose of the current analysis, this original standard was adapted to include an additional rating option in order to broaden inclusion and gather enough qualifying studies to permit meta-analyzing. A score of 2 (originally a 1; Maggin et al. 2013) was assigned if IOA was collected for at least 20 % of the sessions within each condition. The adaptation made was that a score of 1 was assigned if the study reported that IOA was collected for at least 20 % of the sessions overall, but did not report that IOA was collected for each condition. A score of 0 was assigned if the IOA data collection did not meet the 20 % minimum. Design Standard 2C evaluated whether the results of the IOA met minimum quality thresholds, defined as 0.80 for percentage agreement indices or 0.60 for kappa measures (Kratochwill et al. 2010). Design Standard 2C contained a rating of 1 indicating IOA met minimum thresholds and 0 indicating IOA did not meet minimum thresholds. Design Standard 3 assessed whether the study included a minimum of three attempts to demonstrate effects at differing points in time, resulting in a rating of 1 if the study met this criterion and 0 if the study did not. Design Standard 4 evaluated the demonstration of effects through a minimum number of data points per phase. This standard was adapted from Maggin et al. (2013) with a clarification to reflect the standard as described by Kratochwill et al. (2010) specifying that alternating treatment designs must include a minimum of four data points. For a study to receive a score of 2, the conditions (phases) had to include a minimum of five data points. A score of 1 was assigned if a condition had at least three data points but not a total of five, or if an alternating treatments design had only four data points. A score of 0 was assigned if the study did not meet these criteria.

Articles were then designated a score for an Overall Design classification (range 0 to 2; Kratochwill et al. 2010; Maggin et al. 2013). Studies that received the highest score for each

Design Standard received an Overall Design classification of 2, indicating that the article Meets Design Standards. Articles that did not receive the highest scores for all Design Standards but did not score 0 for any of the individual Design Standards received an Overall Design classification of 1, or Meets Design Standards with Reservations. Articles that were assigned a 0 for any of the basic Design Standards were given an Overall Design classification of 0, or Does Not Meet Design Standards, and were excluded from further review. Studies using an alternating treatments design with no baseline (e.g., Kern et al. 2007) were excluded at this point as well, given that effects were to be evaluated by comparing the A phase to the B phase. Following the application of Design Standards to 71 articles, 52 articles were qualified for inclusion. From these, three articles were classified as Meets Design Standards (Cannella-Malone et al. 2006; Cihak and Grim 2008; Goodson et al. 2007). The remaining 49 included articles were classified as Meets Design Standards with Reservations.

Moderator Coding of Evidence Standards Convergent validity of the Tau effect sizes with the WWC Evidence Standards was evaluated on data of single dependent measures from individual participants (i.e., cases) within designs qualifying from the 52 included studies. There were 160 cases analyzed in total which met the aforementioned inclusion criteria. Cases were rated on items of Evidence Standards within three categories of visually analyzed indicators of effect described by Maggin et al. (2013) and based on Kratochwill et al. (2010): (a) Baseline Analysis, (b) Within Phase Analysis, and (c) Between Phase Basic Effects. Between Phase Experimental Effects were rated considering each design as a whole and Overall Evidence for each case was then classified as Strong Evidence, Moderate Evidence, or No Evidence based on three Overall Effectiveness items (i.e., ratings based on the number of data points, the total number of effects, and the ratio of those effects to the non-effects; see Maggin et al. 2013).

Ratings on cases of two Between Phase Basic Effects (Between Basic and Between Overlap) were evaluated as potential moderators in addition to the Overall Evidence (Maggin et al. 2013). Between Basic ratings documented the presence (rated as 1 or Basic Effects Present) or absence (rated as 0 or No Basic Effects Present) of a basic effect between phases. Between Basic ratings were evaluated as a potential moderator because this represents a holistic rating of visual analysis indicators (i.e., immediacy of effects, trend, overlap, variability, level, and consistency of effects) to be compared against Tau, which principally measures overlap. Between Overlap ratings indicated if the overlap between baseline and treatment phases was sufficiently low to document an effect (Maggin et al. 2013). Ratings of either Overlap Sufficiently Low (rated as 1) or Overlap Not Sufficiently Low (rated as 0) were assigned per case by exclusively judging the overlap between phases through visual analysis.



Between Overlap ratings were evaluated as a moderator because as Tau is a statistical measure of overlap, it might be posited to have a high level of agreement with visually analyzed overlap. Finally, the Overall Evidence classification of Strong Evidence, Moderate Evidence, or No Evidence was analyzed as a potential moderator because it documents not only the occurrence of basic effects, but also the level of experimental control (e.g., at least three demonstrations of effect) demonstrated within each design as a whole. Because overlap statistics do not account for experimental control within a single-case design, the consistency between Tau scores with the Overall Evidence classifications for this group of studies can, in a manner, demonstrate the potential validity of findings from other moderators in terms of experimental control.

Inter-rater Reliability on Design and Evidence Standards Two independent raters analyzed each of the articles (n=71, 100 %) for six Design Standards and independently classified the study as Meets Design Standards, Meets Design Standards with Reservations, or Does Not Meet Design Standards. For this overall classification of the 71 articles, there was 80 % IRR (14 disagreements). Averaged across the six Design Standards with a total of 426 coded items, IRR reached 85 % (range, 69 to 99 %). There were 65 disagreements total including three for Design Standard 1, one for Design Standard 2A, 16 for Design Standard 2B, 12 for Design Standard 2C, 11 for Design Standard 3, and 22 for Design Standard 4. All disagreements were either discussed until consensus was reached or a third rater evaluated the disagreements and a final determination was made by two of the three raters. Thus, final IRR was 100 % for individual Design Standards and overall classifications. Prior to rating Evidence Standards independently, three articles were selected to be discussed and evaluated by the two raters. Then, the two raters independently analyzed 16 randomly selected articles (31 %) or 52 of 160 total cases (33 %). Percentages of IRR for Evidence Standard codes reached 100 % for Between Basic ratings, 98 % for Between Overlap ratings, and 92 % for Overall Evidence classifications. Disagreements were discussed between the two raters until consensus was reached.

### Moderator Coding of Descriptive Study Characteristics

In addition to the Evidence Standard codes described above, each A-B phase contrast was summarized based on the following potential moderators: participant age, participant diagnosis, description of the independent variable, description of the dependent variable, setting, and implementer characteristics. If a study variable did not fit in the defined categories below within potential moderators or the article did not specify, a denotation of OTHER was given. The OTHER denotation was not evaluated within categories as a potential moderator due to the variability within the classification.

The participant's age was coded as PRESCH if the age was reported as less than 5 years, ELEM for 5 to 10 years, SECOND for 10 to less than 15 years, and ADOLADULT for greater than 15 years. For diagnosis, if it was reported that the participant had ASD or autism, a code of AU was assigned. A code of HFAAS was assigned for cognitively high-functioning autism or Asperger syndrome. A code of AUIDD was assigned for a diagnosis of autism and either mental retardation or intellectual disability, if the reported IQ was less than 70, or if adaptive behavior scores were two or more years delayed as reported by the age equivalent score.

The categories for independent variable encompassed four categories of interventions that were selected based on an initial informal review of the included articles. If a study utilized video modeling as the independent variable, the article was coded as VM. An intervention using audio cueing (e.g., "bug in the ear" Bluetooth technology that allowed the instructor to give the participant verbal instructions) was coded as AC. If a study used behavioral in vivo instruction alone (e.g., prompting, chaining, fading prompts, and use of reinforcement), the study was coded as BIV. The use of visual cueing (schedules, pictorial task analysis, social stories, and/ or self-monitoring) was coded as VC. Studies that used video modeling, audio cueing, or visual cueing in combination with behavioral in vivo instruction were categorized as the former (e.g., visual cueing) given that behavioral methods of instruction were commonly used in tandem with each of these interventions.

Four categories were coded for the dependent variables. Targeted skills that included toileting, cooking, hygiene, bathing, tooth-brushing, dressing, or independent eating were coded as self-help (SH). Skills identified as household chores (e.g., cleaning and laundry) were coded as HC. Skills related to employment were coded as ES, and skills related to accessing the community (e.g., transportation use, banking and shopping) were coded as COMMACC.

The setting where the intervention occurred was coded as SCHL for a school or clinic setting, EMP for an employment setting, HM for a home setting, and COMMU for a community setting. Finally, the implementer was coded as TCHR if a teacher, aide, or paraprofessional was described as being the implementer of the intervention, RCHR was assigned if a researcher or graduate student implemented the intervention, and PAR was assigned for a parent or caregiver implemented the intervention.

Inter-rater Reliability on Moderator Coding of Study Characteristics In order to establish IRR for codes across the six aforementioned moderator categories, 89 randomly selected effects (i.e., A-B phase contrasts) under analysis (35 % of a total of 251) across 16 studies (31 %) were coded by two independent raters. IRR was calculated based on whether the two raters agreed on the codes per moderator



category of each effect, with a total of 534 possible agreements. The overall percentage IRR for the moderator coding was 96 % (range, 83 to 100 % across moderator categories) due to 22 disagreements. In the event of disagreements, a third rater reviewed the disagreement and made a final decision.

### Data Extraction and Analysis

Data were extracted from each line graph in each article that displayed data for a participant with behaviors meeting inclusion criteria (i.e., participants in an article who did not have ASD were excluded; data related to other behaviors, such as challenging behaviors, were excluded). Phases selected for contrasts in this metaanalysis included adjacent baseline and intervention phases (Parker et al. 2011b). That is, for example, in a reversal design (A<sub>1</sub>B<sub>1</sub>A<sub>2</sub>B<sub>2</sub>), phase A<sub>1</sub> was contrasted with B<sub>1</sub> and A<sub>2</sub> was contrasted with B<sub>2</sub>. Data were extracted by hand using a rank-order approach (Parker et al. 2011a). The order of data points in each graph was ranked according to their relative order across phases. The lowest point across phases was assigned a rank of 1 and the rank increased with the relative position of the data on the graph until all data were assigned a relative rank. Dependent variables intended for reduction were ranked in reverse order. Data points that were on the same level were given the same ranked score. Ranks for data points were entered into a table in time-series order and by phase.

Inter-rater Reliability on Data Extraction IRR was calculated on data extraction to control for potential errors in transposing rank order. Out of 52 included studies, data from 44 studies (85 %) were rank ordered by a second independent rater. The IRR was then calculated as point-to-point percentage agreement to determine correspondence of point-to-point rank ordered data across phases (including generalization and maintenance phases to permit later analyses in addition to baseline and intervention phases for the current analyses). There were 3502 agreements from a total of 3721 possible pairs of agreement, reaching an IRR of 94 % between raters. For those rankings in which two raters did not agree, a third independent rater coded the rank order of data points and consulted with the other coders until 100 % agreement was reached.

Effect Size Calculation As single-case research was the focus of this analysis, a non-parametric effect size was utilized for all moderator analyses. Tau is an effect size measure that tests the degree of nonoverlap between phases (Parker et al. 2011b). Tau results in scores ranging from -1.0 to 1.0. A positive score between 0.0 and 1.0 indicates improvement

between the two phases and a negative score indicates a deteriorating data set. For the purpose of this analysis, individual Tau effect sizes were aggregated to obtain omnibus effects for defined moderators. All of the Tau analyses were calculated and aggregated using original software developed through the Maple platform available from the seventh author, John Davis.

After calculating the omnibus effects according to different moderators of interest, additional analyses were conducted to evaluate the statistical significance of the results. First, the Kruskal–Wallis one-way analysis of variance was utilized (Kruskal and Wallis 1952). In the event that any of the moderator variables obtained significance with the Kruskal–Wallis analysis, a Dunn post-hoc test was conducted to evaluate the pair-wise combinations (Dunn 1964).

#### Results

Data from this study yielded 251 separate AB contrasts from 52 unique studies with 133 participants. The omnibus Tau across all studies was  $0.85~\rm CI_{95}$  [0.83, 0.89], which indicates that interventions to improve functional living skills with people with ASD have overall strong effects (i.e., 0.85-0.95). Within these studies, a broad range of Tau values was identified (from -0.75 to 1.00) while a majority of studies resulted in strong effects or moderate effects (i.e., 0.70-0.84). Given the broad range of effect sizes across studies, analyses of potential moderator variables were conducted.

#### Age

Four unique variables were categorized within the age category (see Table 1). Within this analysis, Tau effect sizes ranged from a strong effect of 0.92 CI<sub>95</sub> [0.86, 0.99] for elementary (ages 5-9 years) to a weak effect of 0.52  $CI_{95}$  [0.43, 0.61] for secondary level learners (ages 10-14 years). The Kruskal-Wallis analysis indicated that there were statistically significant differences between outcomes (p<0.01). The Dunn post-hoc procedure (see Table 2) indicated a statistically significant difference between the preschool (less than 5 years) and adolescent/adult age groups (ages 15 years and up), suggesting the adolescent/ adult age group had relatively stronger effects. Results also showed statistically significant differences between the elementary and secondary age groups, suggesting that interventions with elementary-aged children had stronger effects than those among secondary-aged individuals. In addition, statistically significant differences were found between the secondary and adolescent/adult participant age groups, suggesting the interventions with the adolescent/adult age group had stronger effects than those with the secondary age group.



**Table 1** Number of studies, participants, analyses and Tau results: Age

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Preschool	5	8	13	0.70 [0.59, 0.83]
Elementary	12	20	47	0.92 [0.86, 0.99]
Secondary	8	20	29	0.52 [0.43, 0.61]
Adolescent/Adult	32	85	162	0.91 [0.87, 0.95]

# Diagnosis

Within the diagnosis category, three unique variables were categorized (see Table 3). Within this analysis, Tau effect sizes ranged from a strong effect of 0.87 CI<sub>95</sub> [0.83, 0.91] for a diagnosis categorized as autism and intellectual disability to a moderate effect of 0.70 CI<sub>95</sub> [0.61, 0.80] for a diagnosis categorized as high-functioning autism/Asperger syndrome. The Kruskal-Wallis analysis indicated statistically significant differences between participants based on diagnostic categorization (p=0.025). However, the Dunn post-hoc procedure did not indicate statistically significant differences between any of the diagnostic categories. Studies including participants described as having multiple prior diagnoses on the autism spectrum or eligibility for special education services under the diagnosis of autism were considered vague or mixed and thus were denoted as OTHER under participant diagnosis (n=2).

# Independent Variable

The investigation of differences between studies based on the independent variable had four unique variables (see Table 4). This analysis found strong effects for visual cueing (Tau=0.93  $CI_{95}$  [0.83, 1.00]), behavioral in vivo (Tau=0.89  $CI_{95}$  [0.83, 0.95]), and audio cueing (Tau=0.85  $CI_{95}$  [0.77, 0.94]). Moderate effects were found for video modeling (Tau=0.83  $CI_{95}$  [0.79, 0.87]). The Kruskal–Wallis analysis indicated no statistically significant differences between studies based on the targeted independent variable (p=0.156). Independent variables which were denoted as OTHER consisted of additional component or mixed intervention studies including parent-therapist collaboration or parent-training programs, personal

**Table 2** Group comparisons in average ranks, alpha, and significant difference: Dunn posthoc test for age

Group comparisons	Difference in average ranks	Cutoff at Alpha=0.05	Significance difference=**
Preschool—Elementary	50.25	60.02	
Preschool—Secondary	10.68	63.93	
Preschool—Adolescent/Adult	56.99	55.21	**
Elementary—Secondary	60.94	45.23	**
Elementary—Adolescent/Adult	6.73	31.73	
Secondary—Adolescent/Adult	67.67	38.62	**

digital assistants, and multi-media or mixed instruction modes (n=7).

# Dependent Variable

The investigation of differences between studies based on the dependent variable had four unique variables (see Table 5). Within this analysis, Tau effect sizes ranged from a strong effect of 0.91  $\text{CI}_{95}$  [0.85, 0.96] for employment skills (e.g., assembling and cleaning at a job) to a moderate effect of 0.78  $\text{CI}_{95}$  [0.51, 1.00] for household chores (e.g., cleaning and laundry). The Kruskal–Wallis analysis showed no statistically significant differences, although marginal, between studies based on the targeted dependent variable (p=0.061). One study was denoted as OTHER for the dependent variable, which targeted off-task behavior.

#### Setting

Analysis of the study setting had four unique variables (see Table 6). Within this analysis, Tau effect sizes showed strong effects for each of the four of the setting variables. The Kruskal–Wallis analysis showed no statistically significant differences between studies based on the setting variable (p=0.72). Under the setting category, studies were denoted as OTHER if they included mixed settings (n=8).

# Implementer

Analysis of studies based on implementer had three unique variables (see Table 7). Within this analysis, Tau effect sizes showed strong effects for each of the three variables. The Kruskal–Wallis analysis showed no statistically significant



Table 3 Number of studies, participants, analyses, and Tau results: Diagnosis

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Autism and Intellectual Disability	34	83	167	0.87 [0.83, 0.91]
Autism	17	32	54	0.86 [0.80, 0.92]
High-Functioning Autism/Asperger Syndrome	5	14	20	0.70 [0.61, 0.80]

differences between studies based on the implementer (p= 0.92). Studies were denoted as OTHER under implementer when they included mixed categories of implementers or the implementer's role could not be determined (n=24).

# Convergent Validity with Evidence Standards

Between Basic Analysis of differences between studies based on Between Basic ratings had two unique variables. The Basic Effects Present variable yielded 236 effect sizes from 50 studies with 124 subjects and the No Basic Effects Present variable yielded 15 effect sizes from eight studies with 15 subjects. Within this analysis, Tau effect sizes ranged from a strong effect of 0.89 CI<sub>95</sub> [0.86, 0.92] for the Basic Effects Present variable to weak effects of 0.29 CI<sub>95</sub> [0.18, 0.41] for the No Basic Effects Present variable. The Kruskal-Wallis analysis indicated statistically significant differences between studies based on the Between Basic variable (p = < 0.001).

Between Overlap Analysis of differences between studies based on the Between Overlap codes had two unique variables. The Overlap Sufficiently Low variable yielded 231 effect sizes from 47 studies with 118 subjects and the Overlap Not Sufficiently Low variable yielded 20 effect sizes from nine studies with 18 subjects. Tau effect sizes ranged from a strong effect of 0.91 CI<sub>95</sub> [0.87, 0.94] for the Overlap Sufficiently Low variable to weak effects of 0.29 CI<sub>95</sub> [0.19, 0.40] for the Overlap Not Sufficiently Low variable. The Kruskal-Wallis analysis indicated statistically significant differences between studies based on the Between Overlap variable (p = < 0.001).

Overall Evidence Analysis of differences between studies based on the Overall Evidence had three unique variables (see Table 8). Within this analysis, Tau effect sizes ranged

from a strong effect of 0.91  $CI_{95}$  [0.86, 0.96] for Moderate Evidence ratings to weak effects of 0.43 CI<sub>95</sub> [0.33, 0.53] for No Evidence ratings. The Kruskal-Wallis analysis indicated statistically significant differences between studies based on the Overall Evidence variable (p = <0.001). The Dunn posthoc procedure (see Table 9) indicated statistically significant differences between the Moderate Evidence and No Evidence variables. Similar statistically significant results were found between the Strong Evidence and No Evidence variables. No statistically significant differences were found between the Moderate Evidence and Strong Evidence classifications.

#### Discussion

This meta-analysis synthesized the findings from single-case research studies to evaluate the effectiveness of multiple types of interventions for improving functional living skills in individuals with ASD. This appears to be the first meta-analytic review on single-case research studies conducted to determine the characteristics of the existing research that has evidence to suggest effectiveness for teaching functional living skills to individuals with ASD. Findings provide overall support for the efficacy of the interventions under analysis to improve various functional living skills among individuals with ASD across ages and cognitive functioning levels. Across settings and implementers, interventions generally resulted in improvements as well.

We first sought to identify differences in magnitude of effect across ages of participants. In this review, approximately half of studies included adolescents and adults with ASD which yielded strong and statistically significant effects relative to both secondary- and preschool-aged individuals. The representativeness of adolescents and adults among studies in

Table 4 Number of studies, participants, analyses, and Tau results: Independent variable

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Audio cueing	6	17	28	0.85 [0.77, 0.94]
Behavioral in vivo	12	31	50	0.89 [0.83, 0.95]
Visual cueing	7	18	28	0.93 [0.83, 1.00]
Video modeling	24	66	119	0.83 [0.79, 0.87]



**Table 5** Number of studies, participants, analyses, and Tau results: Dependent variable

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Community access	11	18	35	0.86 [0.79, 0.93]
Employment skills	17	49	82	0.91 [0.85, 0.96]
Household chores	10	30	53	0.78 [0.51, 1.00]
Self-help	20	46	77	0.90 [0.84, 0.95]

this review appears contrary to the educational literature base on ASD at large, but the current review appears to be the most inclusive of all age categories specific to the area of teaching functional living skills to persons with ASD and thus may present a more accurate overview of the literature in this area to date. Previous reviews in this area have targeted specific age categories (Machalicek et al. 2008; Bennett and Dukes 2014; Palmen et al. 2012a) and dependent variables most relevant to specific age categories (Flynn and Healy 2012; Walsh et al. 2014). The common targeting of adolescents and adults found here may be due to the fact that acquisition of functional living skills takes a high priority within the habilitation programs of adolescents and adults with disabilities (Stancliffe et al. 2000). Elementary-aged individuals also yielded strong effects which included more participants relative to preschoolaged individuals. Perhaps older children were more developmentally ready to learn or had more learning experiences with skills that are typically targeted during childhood years (e.g., self-help), relative to preschoolaged children. However, effects were not linear in relation to age. Secondary-aged individuals were less responsive to functional living skills interventions than were elementary-aged individuals to a statistically significant degree. This could potentially be due to the nature of the targeted skills or interventions across ages.

The second question addressed by this study was whether differential effects existed based on participants' cognitive functioning levels. Overall, moderate to strong treatment effects were found across cognitive functioning levels of participants. While participants with high-functioning autism or Asperger syndrome showed the lowest treatment effect, few studies were conducted with these participants making it difficult to have confidence in concluding that the interventions

for individuals with autism or autism and intellectual disabilities were more effective. Further, given the pronounced characteristics (i.e., severely impaired language, behavior, and social skills) of individuals with classic autism, more research might have been focused on these individuals than on individuals that were high functioning.

The third question addressed by this meta-analysis was whether differential effects occurred based on the type of intervention. Overall, moderate to strong treatment effects were found across interventions. Findings were consistent with the broad literature base on functional living skills interventions for persons with disabilities, providing support for behavioral intervention components (Alwell and Cobb 2009). Studies that used visual cueing showed the strongest treatment effect followed by behavioral in vivo instruction alone. Visual cue interventions tended to include components of behavioral procedures such as modeling, prompting, reinforcement, or prompt fading (e.g., Parker and Kamps 2011; Pierce and Schreibman 1994; Ganz and Sigafoos 2005; Mechling and Stephens 2009). These findings may suggest that combining visual cues with behavioral procedures produced additive effects relative to behavioral procedures alone. However, it is not clear whether the visual cue interventions, when used alone, can be considered effective in improving functional living skills. Furthermore, only seven studies used visual cueing which may be an indication of too little evidence to provide strong support for these findings. The majority of analyzed studies utilized video modeling. Although video modeling interventions had lower effects (although not statistically significant) than those of behavioral in vivo instruction alone, component analyses conducted in included studies demonstrated additive effects of video modeling to behavioral in vivo

**Table 6** Number of studies, participants, analyses, and Tau results: Setting

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	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Community	5	8	10	0.88 [0.75, 1.00]
Employment	13	35	57	0.90 [0.83, 0.97]
Home	9	18	43	0.90 [0.83, 0.97]
School	23	58	113	0.85 [0.81, 0.91]



**Table 7** Number of studies, participants, analyses, and Tau results: Implementer

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
Parent	2	3	4	0.92 [0.69, 1.00]
Researcher	15	39	61	0.89 [0.83, 0.96]
Teacher	15	37	74	0.90 [0.85, 0.95]

instruction (Charlop-Christy et al. 2000; Murzynski and Bourret 2007).

Another question addressed by this meta-analysis was whether differential effects occurred due to the type of functional living skills for which the interventions were implemented. Moderate to strong effects were found across categories of dependent variables. Most studies evaluated employment skills or self-help skills and these categories also yielded the strongest effects. These strong effects could potentially be due to the developed literature bases, providing more resources to advance interventions particularly suited to teach these skills. Relatively few participants (n=18) were taught skills used to improve their access to the community (e.g., grocery shopping, ordering foods, and accessing transportation), indicating a weaker level of external validity for the strong effects found.

The fifth question addressed by this review was whether differential effects occurred based on different settings in which the studies were conducted. Studies were carried out at different settings including community, employment, home, and school contexts. Strong treatment effects were found across all of the settings. However, studies conducted in school settings included more than half of the total number of participants in this review and only eight participants were included in studies conducted in community settings. Studies conducted in home settings also included few participants (n=18). The small number of participants in those studies conducted in community and home settings make it difficult to make solid conclusions based on the findings for settings.

Sixth, we sought to identify the variability of effects based on implementer. Strong treatment effects were found across categories of implementers, indicating that parent- and teacher-implemented interventions appear to be as effective as researcher-implemented interventions. However, most studies included researchers or teachers

as implementers and only two studies utilized parents as implementers. Despite this finding, these interventions may be best implemented by persons found within the contexts that people typically demonstrate functional living skills, as the participation of natural change agents tends to promote skill generalization and maintenance (Brookman-Frazee et al. 2009).

Lastly, the current study appears to be the first to evaluate convergent validity of visually analyzed indicators of effect for single-case research with an effect size calculation by testing visual analysis codes as moderators. We used Tau to calculate effect sizes and evaluated the agreement of Tau with three visually analyzed Evidence Standards (Kratochwill et al. 2010; Maggin et al. 2013): (a) basic effects between phases, (b) overlap between phases, and (c) overall presence of evidence (which accounts for experimental control). For both the Between Basic and Between Overlap tests, results indicated large discrepancies in the Tau effect size estimations between moderator categories with high statistical significance, indicating convergent validity between Tau and visually analyzed judgments of both overlap and basic effects overall. For Overall Evidence, there were statistically significant discrepancies between effects classified as No Evidence versus Moderate Evidence and for studies classified as No Evidence versus Strong Evidence. This indicates that the Tau metric generally concurred with the overall presence versus absence of experimental control. There were no statistically significant differences in effects identified between studies classified as having Moderate Evidence versus Strong Evidence, which might be expected given that Tau is not designed to be sensitive to grades of experimental control (e.g., replications of effect in design as a whole) beyond the demonstration of an effect between two phases. Therefore, discriminant validity (i.e., a lack of relation) appeared to exist between the degree of experimental control demonstrated and the Tau metric.

Table 8 Number of studies, participants, analyses, and Tau results: Overall evidence

	Number of studies	Number of study participants	Number of analyses	Group Tau [CI <sub>95</sub> ]
No evidence	8	16	19	0.43 [0.33, 0.53]
Moderate evidence	34	72	144	0.91 [0.86, 0.96]
Strong evidence	16	49	88	0.87 [0.84, 0.92]



Table 9 Group comparisons in average ranks, Alpha, and significant difference: Dunn post-hoc test for Overall Evidence

Group comparisons	Difference in average ranks	Cutoff at Alpha=0.05	Significance difference=**
No Evidence—Moderate evidence	103.96	42.42	**
No Evidence—Strong evidence	86.13	43.96	**
Moderate Evidence—Strong evidence	17.83	23.51	

#### Limitations

Some limitations exist for this meta-analysis. First, data on generalization and maintenance conditions were not analyzed. Therefore, it is unclear whether the interventions resulted in meaningful changes in the daily lives of those individuals with ASD. Second, we did not analyze the latency of target behavior acquisition, thus did not account for speed of acquisition in our analysis of effects. We also did not analyze the intensity of the intervention components. It is possible that the severity of cognitive functioning level could impact the intensity of treatment requirements, but this is not something we were able to determine from our analyses. Fourth, this review is inclusive of a variety of targeted behaviors meeting our definition of functional living skills, some of which require more steps or greater response effort relative to others. As a result of these limitations, moderator effects must be interpreted cautiously. Fifth, this review included only peer-reviewed journal articles involving single-case research, which may weaken the validity of the findings (Duval and Tweedie 2000). By excluding group studies and unpublished studies, it does not synthesize all available evidence on the effects of interventions developed to improve functional living skills of individuals with ASD. Furthermore, it would have been beneficial to conduct a hand search of journals for recently released articles to potentially obtain additional studies not yet propagated to online databases. Finally, in analyzing design quality of each study, the Design Standards were slightly adapted from Maggin et al. (2013) to permit inclusion of more studies. Therefore, although studies included in this meta-analysis were systematically identified to be those of relatively high quality among the existing literature base, they should not be considered to meet the specific quality indicators of design delineated by Kratochwill et al. (2010).

### Future Research

Results of this meta-analysis suggest several questions that can be addressed in future research. Follow-up investigation is recommended for including data in generalization and maintenance conditions and analyzing whether the acquired skills were maintained and generalized across multiple settings and people. Relatedly, since carrying out studies in more naturalistic settings (e.g., community, employment, and home) implemented by teachers or parents may promote maintenance and generalization of skills that individuals with ASD acquire, there is an obvious need for further research in these areas. Additionally, most of the studies reviewed in this meta-analysis included adolescents or adult participants with ASD and more than half of the participants were diagnosed with ASD co-morbid with intellectual disability. More studies should be conducted with other age groups and diagnoses of individuals to confirm the findings that functional living skills of individuals with ASD can be improved regardless of ages and cognitive functioning levels. We underscore the need to include more detailed characteristics of participants in order to determine moderators beyond cognitive functioning levels and with more specificity (e.g., the presence or absence of stereotypical behavior patterns). Also, it is recommended that component analyses of interventions be conducted to determine the additive effects of audio cues, visual cues, and video models. Since many of these intervention strategies were combined with some types of behavioral intervention procedures for which effects were not disaggregated, it may discount a certainty of identified treatment effects.

Regarding methodological considerations, future studies are suggested to synthesize the quality of evidence from both group research and single-case research on teaching functional living skills to persons with ASD. Standards of study quality exist from the Council for Exceptional Children which permit such a synthesis (Cook et al. 2014). A similar meta-analysis of the existing group research would be a useful area for an extension and a comparison of findings. Also, future studies should continue to evaluate convergent validity of effect sizes with visually analyzed indicators. Comparisons of different metrics can be evaluated in moderator analyses of visually analyzed indicators, such as through using Tau versus Tau-Umetrics. Additionally, other important indicators of visually analyzed evidence can be evaluated as moderators, such as ratings on trend or the immediacy of effects. Further, convergent validity of visual analysis codes with effect size metrics can be evaluated through use of correlational methods. Such extensions will help towards exploring limitations and



improving the soundness of techniques used for data aggregations of single-case research.

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**Compliance with Ethical Standards** The manuscript does not contain clinical studies or patient data.

#### Appendix A: Articles Included in the Analysis

Alcantara (1994).

Allen, Burke, Howard, Wallace, & Bowen (2012).

Allen, Wallace, Greene, Bowen, & Burke (2010a).

Allen, Wallace, & Renes (2010b).

Bainbridge & Smith Myles (1999).

Bennett, Ramasamy & Honsberger (2013a).

Bennett, Ramasamy, & Honsberger (2013b).

Bennett, Brady, Scott, Dukes, & Frain (2010).

Bereznak, Ayres, Mechling, & Alexander (2012).

Bledsoe, Smith-Myles, & Simpson (2003).

Cannella-Malone, Wheaton, Wu, Tullis, & Park (2012).

Cannella-Malone, Sigafoos, O'Reilly, de la Cruz, Edrisinha, & Lancioni (2006).

Cannella-Malone, Fleming, Chung, Wheeler, Basbagill, & Singh (2011).

Carr & Carlson (1993).

Cavkaytar & Pollard (2009).

Charlop-Christy, Le, & Freeman (2000).

Cihak & Grim (2008).

Cihak & Schrader (2008).

Collins, Stinson, & Land (1993).

Ganz & Sigafoos (2005).

Gardill & Browder (1995).

Goodson, Sigafoos, O'Reilly, Cannella, & Lancioni (2007).

Kellems & Morningstar (2012).

Kemp & Carr (1995).

Lattimore, Parsons, & Reid (2008).

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LeBlanc, Carr, Crossett, Bennett, & Detweiler (2005).

Mays & Heflin (2011).

Mechling (2004).

Mechling & Ayres (2012).

Mechling & Gast (2003).

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Mechling & Gustafson (2008).



Mechling, Pridgen, & Cronin (2005).

Mechling & Stephens (2009).

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Murzynski & Bourret (2007).

Ozcan & Cavkaytar (2009).

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Riffel, Wehmeyer, Turnbull, Lattimore, Davies, Stock, & Fisher (2005).

Rosenberg, Schwartz, & Davis (2010).

Sewell, Collings, Hemmeter, & Schuster (1998).

Shipley-Benatou, Lutzker, & Taubman (2002).

Sigafoos, O'Reilly, Cannella, Edrisinha, de la Cruz, Upadhyaya, . . . Young (2007).

Sigafoos, O'Reilly, Cannella, Upadhyaya, Edrisinha, Lancioni, . . . Young (2005).

Van Laarhoven, Van Laarhoven-Myers, & Zurita (2007).

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\*Indicates study included in the meta-analysis

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